Documentation Request

The following documents provide information that we believe are important to review as we work to create a personalized financial plan for you. Please provide copies of any applicable documents. If you are unable to make copies, we will make copies and securely return the originals to you. All of your personal information will be treated confidentially.

Income
Salary & Incentive Compensation
Recent Payroll Stub(s)
Other Income Sources

Liabilities & Expenses
Mortgages and Other Loan Statements
Expense Details (Lifestyle Expense Worksheet)

Insurance*
Life
Medical
Disability
Property / Casualty
Long-Term Care

Employer Benefits
Benefit Statements or Summaries
Plan Documents, if available
Employment Agreement

Financial
Prior Financial Plan(s), if any
Current Estate Plan, if any
Income Tax Returns (previous two years)
Business Balance Sheets

Investment Accounts*
Savings / Checking / Money Market / CD's
Mutual Fund & Brokerage
529 College Savings Plans
IRA's / 401(k) / Other Retirement Plans
Annuity Contracts
Pension Plans
Deferred Compensation / SERP
Stock Options / Restricted Stock / ESPP
Limited Partnerships
Real Estate & Investment Property
Other Assets

Legal Documents
Wills
Trusts
Partnership / LLC Agreements

Current Advisor(s) Contact Information
Bank / Financial Institutions
Financial Planner
Attorney
Accountant
Other

* Include beneficiary designations for life insurance, IRA's, penstions, 401(k) and/or other profit sharing plans.